

GOVERNMENT OF PAKISTAN
MINISTRY OF CLIMATE CHANGE
(International Cooperation Wing)

Subject: **STANDARD OPERATING PROCEDURES (SOPs) FOR GRANTING PERMISSIONS TO IMPORT MATERIALS UNDER BASEL CONVENTION ON THE CONTROL OF TRANS-BOUNDARY MOVEMENTS OF HAZARDOUS WASTES AND THEIR DISPOSAL**

STEPS INVOLVED	EVALUATION		REMARKS/DECISION
<p>Note for submission of application by the industrial consumers/importer:- The applicant will submit/process its request through relevant environmental protection agency (EPA) alongwith following documents in order to observe Environmental Sound Management (ESM) and to prevent the import of hazardous wastes and other wastes if it has reason to believe that the wastes in question will not be managed in an environmentally sound manner:-</p> <ul style="list-style-type: none"> i. Environmental approval of applicant/facility by the respective Federal or Provincial Environmental Protection Agencies (EPAs) indicating the annual recycling capacity. Respective EPAs shall give final decision at its earliest. ii. Environment Management Plan (EMP) duly approved by the respective EPA. iii. Annual Environmental Audit Report duly approved by the respective EPAs. This condition shall however not apply in case of units importing item for the first time. iv. Pre-Shipment Inspection in exporting country by any of the companies mentioned in Appendix-H of the Pakistan Import Policy Order (IPO). The companies shall certify that the consignment being exported to Pakistan does not contain any hazardous substance as defined in the Basel Convention. Customs authorities, in case of doubt, may randomly get any consignment tested through Pakistan Council for Scientific and Industrial Research (PCSIR) or any other government certified laboratory; and v. Any other document/condition notified in the prevalent IPO. 			
Step-1			
1. Application Received	Status of the Applicant checked	1.1. Application not received through relevant environmental protection agency (EPA)	Application Rejected otherwise processed for next sub-step
		1.2. Trader/distributor/not an industrial consumer	Application Rejected otherwise processed for next sub-step
		1.3. Industrial consumer	Application processed for next step

Step-2			
2. International Obligations/ Compliance Verified	Status of the item/commodity analyzed in the light of provisions of the Basel Convention on the Control of Trans-boundary Movements of Hazardous Wastes and their Disposal (BC)	2.1. Commodity falls under Annex-I (categories of wastes to be controlled) and also having characteristics as defined under Annex-III of BC.	Application Rejected otherwise processed for next sub-step
		2.2. Commodity falls under Annex-II of BC (categories of wastes requiring special consideration) and also having characteristics as defined under Annex-III.	Application Rejected otherwise processed for next sub-step
		2.3. Commodity intended for Disposal Operation [Annex-IV(A) of BC (operations which do not lead to the possibility of resource recovery, recycling, reclamation, direct re-use or alternative uses)].	Application Rejected otherwise processed for next sub-step
		2.4. Commodity intended for Disposal Operation [Annex-IV(B) of BC (operations which may lead to resource recovery, recycling reclamation, direct re-use or alternative uses)].	Application processed for next sub-step
		2.5. Commodity falls under Annex-VIII and IX of BC and not having characteristics as defined under Annex-III.	Application processed for next sub-step
		2.6. Information of intended Import Verified (Applicant to provide information about the intended trans-boundary movement of hazardous wastes and other wastes, according to Annex V-A of BC (as well as Annex V-B, if available at this stage) .	Application processed for next step subject to satisfaction of the step 2.6.
Step-3			
3. National Obligations/Compliance (Pakistan Import Policy Order provisions) Verified	Status of the item/ commodity checked under the provisions of prevalent Pakistan Import Policy Order (IPO).	3.1. Commodity falls under Appendix-A (Banned Items (Negative List)) of the IPO.	Application Rejected otherwise processed for next sub-step
		3.2. Commodity falls under Appendix-B (Restricted Items) of the IPO. The import is subject to meeting the conditions stipulated in column (4) of the Appendix.	Application Rejected otherwise processed for next sub-step
		3.3. Commodity falls under Appendix-C (List of items not importable in used / second hand condition) of the IPO.	Application Rejected otherwise processed for next sub-step
		3.4. Commodity falls under Appendix-G (List of items not importable from India) of the IPO.	Application Rejected otherwise processed for next step

Step-4			
4. Applicant's Site Visit	Confirmation of on ground existence of applicant's facility as industrial consumer and processing capacity	4.1. Joint visit of Technical Expert from the relevant EPA, academia or independent subject experts, and representative of the Ministry of Climate Change having functional knowledge of the Basel Convention. 4.2. Facility inspected in the light of documents as mentioned in under the heading 'note for submission of application by the industrial consumer/importer'.	Application accepted upon confirmation of facility as industrial consumer and processing capacity.
Step-5			
5. Final Approval	Permission recommended by the National Focal Point/Competent Authority for BC or otherwise subject to necessary undertakings, where required.	5.1. Analysis based recommendations/proposals, duly substantiated by documents.	Permission granted upon approval by the Secretary, M/o Climate Change.

Notes:

- i. Steps-1 to Step-3 to be completed within 15 days of receipt of the application.
- ii. Technical assistance, where required, will be sought from the projects on case to case basis.
- iii. After approval of Secretary, M/o Climate Change, permission letter to be issued under the signatures of National Focal Point/Competent Authority for the Basel Convention. The imported consignment of the registered recycling plant shall be cleared from one custom station only.
- iv. Submission of recycling plan for each requested shipment to be monitored by the relevant EPA
- v. Record keeping of all such imported quantities as ready reference for compliance and reporting.